

Information Update – Governance & Audit Workplan Status Report

To: Chair and Board of Directors
Through: President/CEO Inez Evans
From: Deputy of Governance & Audit Brian Atkinson
Date: March 3, 2021

GOVERNANCE & AUDIT ETHICS HOTLINE SUMMARY REPORT

Ethics Hotline Summary Report

Quarter Activity Summary: 1/01/21 through 3/30/21

Method of Submission	Number of Reports
Website	1
Phone call	1
Other	0
Total	2

Current Period Potential Reported Issues	Number
Ethics Violations	1
Other Issues	
Waste or Inefficiency	0
Fraud	0
Policy Violation	0
Employee Conduct/Abuse	1
Theft	0
Customer Service	0
HR Issues	0
Total	2

12-Month Activity Summary beginning Q4, 2020											
2	1	1	2	0	0	0	0	0	0	0	0
Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21

Current Status	Total	1-30 days	31-60 days	60+ days
Preliminary Assessment	0			
Investigation	0			
Completed	2			
Total Claims	2			

RECOMMENDATION:
 Receive the report.

Brian Atkinson
 Deputy of Governance & Audit